

Declaration for using the common Email address and Mobile number for the Trading & Demat Account

To,
Vridhi Capital & Finance Private Limited,
Avani Signature, 91A/1
Park Street, Kolkata - 700016

Date	
Trading code-UCC	
Demat Account no.	

I/We _____ hereby declare that my relationship to the owner of Mobile No.
_____ is ☐ Self ☐ Spouse ☐ Dependent Child ☐ Dependent Parents ☐ Authorised

Person of corporate / trust / partnership firm / HUF and details are-

Details of Mobile Owner	<u>Self</u>	<u>Family-</u> Spouse / Dependent Child / Dependent Parents / Authorised Person of corporate/trust/Partnership firm/HUF
Name		
UCC code		
Demat account number		
Date of Birth		
Signature of Mobile Owner		

I/We _____ hereby declare that my relationship to the owner of Email Address
_____ is ☐ Self ☐ Spouse ☐ Dependent Child ☐ Dependent

Parents ☐ **Authorised Person** of corporate / trust / partnership firm / HUF and details are-

Details of Email Owner	<u>Self</u>	<u>Family-</u> Spouse / Dependent Child / Dependent Parents / Authorised Person of corporate/trust/Partnership firm/HUF
Name		
UCC code		
Demat account number		
Date of Birth		
Signature of Email Owner		

I/We agree to the terms and condition specified by the Exchanges & depositories. I/We am/are aware that the receipt of SMS/email alerts on the above mobile number and/or email address can be stopped only on my/our written request.

Note:

- As per SEBI Circular No. CIR/MIRSD/15/2011 dated August 02, 2011 investor will receive SMS & Email alerts on the given Mobile No. & Email Id. Reference: NSE Circular No: NSE/ISC/48165 dated May 03, 2021, BSE Circular No: 20210503-41 dated May 03, 2021, CDSL Circular No: CDSL/OPS/DP/POLICY/2021/152 dated April 05, 2021
- As per the regulatory guidelines, use of common email id and mobile number is permissible for Family members which includes Self, Spouse, Dependent Parents and Dependent Children. For Non- individual client category the permissible relationship can be of Authorised signatory. Family Flag is required to be updated as 'Y' in all such Demat Accounts.
- Client may please note that Mobile No. and/or email ID of Trading Member (TM) / Employees of TM/Authorised Person are not permitted to receive the SMS / Email Communication from Exchanges on behalf of client.
- Valid Email Id & Mobile Number is mandatory for all New as well as Existing Clients. In case of repetitive bounce observation, member reserves right to suspend the account for want of valid KYC details.

1 st Holder's Name-	2 nd Holder's Name	3 rd Holder's Name
Sign here -	Sign here -	Sign here -

(In case of demat account having joint holders, all holders need to sign and In Case of Non Individual, Person Can be either Director/Authorised Person/Partner/Karta of HUF etc.)