



VRIDHI CAPITAL & FINANCE PRIVATE LIMITED

Register Office: Avani Signature, 91A/1 Park Street 7th Floor Kolkata, 700016

CIN – U67190WB2022PTC256728

GSTIN - 19AAICV9512H1ZX

SECURITY PLEDGE POLICY

Capital Market Segment

Client securities provided as collateral margin shall be received only from the Client's own Beneficiary Demat Account into the designated Client Pledge Account and shall thereafter be pledged in favour of **NSE Clearing Limited (NCL)** from the Designated Client Pledge Account.

The designated Demat Account maintained for this purpose is:

Demat Account No.: 12104000 / 00000051

DP Name: Vridhi Capital & Finance Private Limited

The securities received from clients shall be handled strictly in accordance with the applicable SEBI, NSE, NCL and CDSL regulations, circulars and guidelines.

Futures & Options Market Segment

For the Futures & Options Market Segment, **Vridhi Capital & Finance Private Limited** acts as the Clearing Member / Clearing Entity for the purpose of receiving securities towards collateral margin.

Self-Securities as Collateral Margin

Securities owned by Vridhi Capital & Finance Private Limited and provided as collateral margin shall be pledged from the Company's own Demat Account as under:

Demat Account No.: 12104000 / 00000051

DP Name: Vridhi Capital & Finance Private Limited

Client Securities as Collateral Margin

Client securities provided as collateral margin shall be received only from the respective Client's own Beneficiary Demat Account into the designated Client Pledge Account.

Such securities shall thereafter be pledged from the Designated Client Pledge Account in favour of **Vridhi Capital & Finance Private Limited (VRIDHI)** towards the applicable collateral margin requirements.

The process shall be as follows:

Client's Own Beneficiary Demat Account → Designated Client Pledge Account → Pledge in favour of VRIDHI

Commodity Derivatives Segment

For the **Commodity Derivatives Segment**, securities/collateral eligible under the applicable regulatory framework shall be maintained and pledged through the designated Commodity Segment Demat Account as under:

Demat Account No.: 12104000 / 00000064

DP Name: Vridhi Capital & Finance Private Limited

Registered with:

SEBI | NSE | BSE | MCX | CDSL | NCL | MCXCL

Dealing In:

EQUITY | DERIVATIVES | COMMODITY

Website: www.vridhicap.com

Email: vridhicapitalfinance@vridhicap.com

Landline: +91 33 6628 9121

SEBI Registration No.: INZ000323534



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The collateral securities received from clients shall be accepted only from the respective Client's own Beneficiary Demat Account and shall be transferred to the designated Client Pledge Account, wherever applicable, and pledged/re-pledged in favour of the Clearing Corporation / Clearing Member in accordance with the applicable regulatory requirements.

All collateral maintained for the Commodity Derivatives Segment shall be handled in accordance with the applicable **SEBI, MCX, Clearing Corporation and CDSL** rules, regulations, circulars and guidelines.

General Provisions

1. Securities shall not be accepted as collateral margin from any Demat Account other than the Client's own Beneficiary Demat Account, except where specifically permitted under applicable regulations.
2. Client securities shall be maintained and pledged through the prescribed pledge/re-pledge mechanism.
3. The Company shall maintain appropriate records of all securities received, pledged and re-pledged towards collateral margin.
4. Client securities shall not be used for the Company's proprietary purposes or for meeting the obligations of any other client, except as specifically permitted under applicable laws and regulations.
5. The Company shall ensure compliance with all applicable SEBI, Stock Exchange, Clearing Corporation and Depository requirements relating to pledge and re-pledge of securities.
6. Any changes to the designated Demat Accounts or pledge mechanism shall be implemented only after obtaining the necessary internal and regulatory approvals, wherever applicable.
7. This Policy shall be reviewed periodically and amended whenever required to remain aligned with applicable regulatory requirements.

Last Reviewed on	Reviewed By	Approved By
02-04-2026	Compliance Officer	Management

Registered with:
SEBI | NSE | BSE | MCX | CDSL | NCL | MCXCCL

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